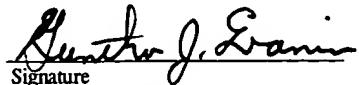


DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER <b>MAK37 P-314</b>
<p><b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b></p>		
INTERNATIONAL APPLICATION NO. <b>PCT/GB98/03775</b>	INTERNATIONAL FILING DATE <b>December 16, 1998</b>	PRIORITY DATE CLAIMED <b>December 16, 1997</b>
<p><b>TITLE OF INVENTION</b> <b>NEUROPROTECTIVE AGENTS</b></p>		
<p><b>APPLICANT(S) FOR DO/EO/US</b> <b>Ashley K. Pringle et al.</b></p>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p>		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p>		
<p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p>		
<p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p>		
<p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p>		
<p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))            a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).            b. <input type="checkbox"/> has been transmitted by the International Bureau.            c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p>		
<p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p>		
<p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))            a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).            b. <input type="checkbox"/> have been transmitted by the International Bureau.            c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.            d. <input checked="" type="checkbox"/> have not been made and will not be made.</p>		
<p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))</p>		
<p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned)</p>		
<p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<p><b>Items 11. to 16. below concern other document(s) or information included:</b></p>		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p>		
<p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p>		
<p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.  <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p>		
<p>14. <input type="checkbox"/> A substitute specification.</p>		
<p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p>		
<p>16. <input checked="" type="checkbox"/> Other items or information:            • Authorization to Charge Deposit Account            • Verified Statement Claiming Small Entity Status (unsigned)            • International Preliminary Examination Report            • International Search Report            • PCT Request            • Demand</p>		

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/581397</b>	INTERNATIONAL APPLICATION NO. PCT/GB98/03775	ATTORNEY'S DOCKET NUMBER <b>MAR37 -P314</b>				
17. <input checked="" type="checkbox"/> The following fees are submitted:		<b>CALCULATIONS</b>				
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO.....		\$ 840.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482).....		\$ 670.00				
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....		\$ 760.00				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....		\$970.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....		\$ 96.00				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$ 840.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$				
Claims	Number Filed	Number Extra	Rate			
Total	Claims	18 - 20 =	-0-	x \$18.00	\$ 0	
Independent	Claims	1 - 3 =	-0-	x \$78.00	\$ 0	
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$ 0		
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$840.00			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).						
<b>SUBTOTAL =</b>			\$420.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			+	\$		
<b>TOTAL NATIONAL FEE =</b>			\$420.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).			\$40.00 per property	+		\$
<b>TOTAL FEE ENCLOSED =</b>			\$420.00			
			Amount to be:	\$		
			refunded	\$		
			charged	\$		
a. <input checked="" type="checkbox"/> A check in the amount of \$420.00 to cover the above fees is enclosed.						
b. <input type="checkbox"/> Please charge my Deposit Account No. 16-2463 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2463. A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO: Price, Heneveld, Cooper, DeWitt & Litton 695 Kenmoor, S.E. P.O. Box 2567 Grand Rapids, MI 49501						
 Signature Gunther J. Evanina Name 35 502 Registration Number						

09/581397  
533 Rec'd PCT/PTO 13 JUN 2000

Atty. Docket No. MAR37 P-314  
Express Mail No. EL545348236US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ashley K. Pringle et al.  
For : NEUROPROTECTIVE AGENTS

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Dear Sir:

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application, or credit any overpayment to Deposit Account No. 16-2463.

- 1) Any filing fees required under 37 C.F.R. §1.16 for which full payment has not been tendered.
- 2) Any patent application processing fees under 37 C.F.R. §1.17 for which full payment has not been tendered.
- 3) Any assignment recording fee under 37 C.F.R. §1.21 for which payment has not been tendered.

Pursuant to 37 C.F.R. §1.136(a)(3), the Commissioner is hereby authorized to treat any concurrent or future reply for this application that requires an extension of time as incorporating a request therefor. Any request or petition for an extension of time should be treated as requesting the appropriate length of time notwithstanding an inadvertent reference in the petition to a shorter period of time. A duplicate of this sheet is enclosed.

Respectfully submitted,  
ASHLEY K. PRINGLE ET AL.  
By: Price, Heneveld, Cooper,  
DeWitt & Litton

June 13, 2000 \_\_\_\_\_  
Date

GJE/daw

*Gunther J. Evanina*  
\_\_\_\_\_  
Gunther J. Evanina  
Registration No. 35 502  
695 Kenmoor, S.E./Post Office Box 2567  
Grand Rapids, Michigan 49501  
(616) 949-9610

09/581397  
410 Re PCT/PTO 13 JUN 2000

Atty. Docket No. MAR37 P-314  
Express Mail No. EL545348236US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ashley K. Pringle et al.

For : NEUROPROTECTIVE AGENTS

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached postal card, Filing Fee in the amount of \$420.00 (check), PTO Transmittal Letter (2 pages in duplicate), Authorization to Charge Deposit Account (1 page in duplicate), Preliminary Amendment (2 pages), International Application (43 pages of Specification, 6 pages of Claims (18 Claims), Declaration and Power of Attorney (unsigned) (2 pages), Verified Statement Claiming Small Entity Status (37 C.F.R. § 1.9(f) and § 1.27(d)) – Nonprofit Organization (2 pages) (unsigned), International Search Report (6 pages), International Preliminary Examination Report (6 pages), Request (4 pages), and Demand (5 pages), are being deposited with the United States Postal Service in an envelope having Express Mail Mailing Label Number EL545348236US addressed to:

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

on June 13, 2000.

Deborah A. Witvoet

Deborah A. Witvoet  
Price, Heneveld, Cooper,  
DeWitt and Litton  
695 Kenmoor, S.E.  
Post Office Box 2567  
Grand Rapids, Michigan 49501  
(616) 949-9610

GJE/daw